

Spontaneous Lorentz Violation in Gauge Theories

A. P. Balachandran^{a,b*} and S. Vaidya^{c†}

^a*Department of Physics, Syracuse University, Syracuse, N. Y. 13244-1130, USA*

^b*Institute of Mathematical Sciences, Chennai, India*

^c*Centre for High Energy Physics, Indian Institute of Science, Bangalore, 560012, India*

Abstract

Frohlich, Morchio and Strocchi long ago proved that Lorentz invariance is spontaneously broken in QED because of infrared effects. We develop a simple model where consequences of this breakdown can be explicitly and easily calculated. For this purpose, the superselected $U(1)$ charge group of QED is extended to a superselected “Sky” group containing direction-dependent gauge transformations at infinity. It is the analog of the Spi group of gravity. As Lorentz transformations do not commute with Sky, they are spontaneously broken. These abelian considerations and model are extended to non-Abelian gauge symmetries.

Basic issues regarding the observability of twisted non-Abelian gauge symmetries and of the asymptotic ADM symmetries of quantum gravity are raised.

1 Introduction

Some time ago, Frohlich, Morchio and Strocchi [1, 2] proved that Lorentz invariance is spontaneously broken in QED because of infrared effects. It is broken for the same reason that the Higgs field spontaneously breaks gauge symmetry: the Lorentz group cannot be implemented on the representation space of local observables.

In this paper, we develop a model for the calculation of this violation in scattering processes. It is similar to the one we developed earlier for the induced electric dipole moment from QCD θ -angle [3]. A $(2+1)$ -dimensional version of this approach was developed recently in [4]. It also overlaps with the work of Buchholz and Fredenhagen [5]. The calculation itself will be presented elsewhere in order to keep the length of the present paper under control. An additional contribution in this work is the extension of the above considerations to non-Abelian gauge theories like QCD where too spontaneous Lorentz violation is seen.

In Section 2, we review the theory of gauge invariance. It emerges from the basic contributions of Hanson, Regge and Teitelboim [6] and has been explained before. There are

*balachandran38@gmail.com

†vaidya@cts.iisc.ernet.in

different kinds of transformation groups in gauge theories. There are those implementing the Gauss law and acting as identity on quantum states. The QED and QCD (global) charges also arise from Gauss law, but they may not vanish on quantum state vectors. Rather they serve to define superselection sectors or inequivalent representations of the local algebra of observables. We recall this difference, as it plays a role in our further considerations.

In QED, charged sources emit infrared photons. They create a cloud of electromagnetic field at "far" distances, in the "sky" of the source. There are clear similarities between this cloud and the CMB radiation of cosmology. The charge operator does not reflect the possibility of this cloud which can carry net zero electric charge.

The proper description of this cloud involves direction-dependent gauge transformations at large distances. The enlarged gauge group which includes such transformations is our Sky group. It is similar to the spatial infinity or Spi group in gravity literature [7]. The Sky group of QED serves to define superselection sectors. This abelian Sky group is introduced in Section 3.

Section 4 examines Lorentz symmetries in the presence of the Sky group. Unlike $U(1)$, generic elements of the Sky group are not Lorentz invariant, and therefore, we argue that in this sector there is spontaneous Lorentz symmetry breaking.

Summing up, our understanding is this: the treatment of infrared photons requires angle-dependent gauge transformations at infinity and the latter break Lorentz symmetry.

A concrete and simple model for the calculation of this breakdown in scattering processes does not exist in the literature. For this purpose in section 5 we introduce an algebra generated by two unitaries $U(\chi)$ and $V(\omega)$. The χ is a function on \mathbb{R}^3 with a limit χ_∞ as the radius $r \rightarrow \infty$:

$$\lim_{r \rightarrow \infty} \chi(r, \hat{n}) = \chi_\infty(\hat{n}), \quad \hat{n} \cdot \hat{n} = 1. \quad (1.1)$$

The ω is a closed two-form, also with a limit ω_∞ as $r \rightarrow \infty$:

$$\lim_{r \rightarrow \infty} \omega(r, \hat{n}) = \omega_\infty(\hat{n}). \quad (1.2)$$

They generate a Weyl-like algebra

$$U(\chi)V(\omega) = C(\chi_\infty, \omega_\infty)V(\omega)U(\chi), \quad C(\chi_\infty, \omega_\infty) = \text{central elements}. \quad (1.3)$$

The meaning of these operators is this: $U(\chi)$ represent the elements of the Sky group while $V(\omega)$ intertwines its different representations.

The algebra (1.3) is only a modification of a Buchholz-Fredenhagen algebra [5] introduced by them also to discuss gauge theories. Local observables cannot affect χ_∞ or C as they depend on data at infinity. We see in section 5 that the Lorentz group does change it, and so gets spontaneously broken.

If $|\cdot\rangle_{C=0}$ is a vector state on which $U(\chi)$ is 1, then

$$V(\omega)|\cdot\rangle_0 = |\cdot\rangle_C \quad (1.4)$$

is a vector state on which $U(\chi)$ has value C . We show in Section 5 how to see the effect of C in scattering processes by a simple modification of the fermion mass. We note that

we do not prescribe a choice for C here. It is determined by the details of the scattering process and perhaps can be modeled from earlier work on the infrared problem. We hope to address this issue in our follow-up paper [8].

There is an alternative choice for $V(\omega)$, call it $W(\theta)$, which commutes with the Gauss law and intertwines different eigenspaces of the (global) charge operator. It is

$$W(\theta) = e^{i\theta S_{CS}(A)} \quad (1.5)$$

where $S_{CS}(A)$ is the abelian Chern-Simons action for the spatial slice of QED. For the fermion mass term modified as previously, the vector states

$$|\cdot\rangle'_\theta = W(\theta)|\cdot\rangle'_{\theta=0} \quad (1.6)$$

also lead to Lorentz symmetry violation (The prime is to distinguish these vectors from those in (1.4)). But the algebra with relation (1.3) is modified.

The twist $V(\omega)$ reverses to $V(-\omega) = V(\omega)^{-1}$ under C and P and is T -invariant, while $W(\theta)$ is C -invariant while reversing under P and T . Thus $|\cdot\rangle_\chi$ for $\chi \neq 1$ breaks C and P while $|\cdot\rangle_\theta$ for $\theta \neq 0$ breaks P and T . Both keep CPT intact.

Section 6 takes up non-Abelian gauge groups. The analog of $U(\chi)$ exists here as well and serves to define the non-Abelian Sky group. But a $V(\omega)$ commuting with Gauss law does not exist. Instead, we must perforce use the non-Abelian $W(\theta)$ where $S_{CS}(A)$ is the non-Abelian Chern-Simons action for the spatial three-manifold. We get a new algebra from $U(\chi)$ and $W(\theta)$ and a new model for Lorentz violation.

With Section 7, we close with qualitative remarks on *non-Abelian* gauge invariance. Thus for example observables commute with *all* gauge transformations. For this reason, if the gauge bundle is twisted such as in QCD, it immediately confronts us with issues like the "problem of color" [9–12] and mixed states [13]. In quantum gravity where diffeos assume the role of the gauge group, and observables commute with them, asymptotic symmetries, including the Poincaré group cease to be observable. Only their center, like mass and total spin can be measured. Thus for a quantum black hole, it is impossible to measure the probability of finding the component of angular momentum in a generic direction in any exact theory of quantum gravity. We explain these points in conclusion.

2 QED: The Gauss Law and the Charge

In the canonical approach to QED, the equal-time vector potentials A_i and the electric fields E_i are canonically conjugate:

$$[A_i(\vec{x}, t), E_j(\vec{y}, t)] = i\delta_{ij}\delta^3(x - y). \quad (2.1)$$

In elementary treatments, the classical Gauss law is imposed as a constraint on quantum vector states $|\cdot\rangle$:

$$(\partial_i E_i + j_0)|\cdot\rangle = 0, \quad j_0 = \text{charge density}. \quad (2.2)$$

It has been understood for a long time that this formulation of quantum Gauss law is unsound: A_i and E_j are operator-valued distributions so that their derivatives must be

reformulated in terms of test functions, their duals. For test functions, we can pick $C_0^\infty(\mathbb{R}^3)$, which is the space of infinitely differentiable functions on \mathbb{R}^3 vanishing at ∞ . Note that we do not require the derivatives of functions to vanish at infinity.

Then the quantum Gauss law is

$$G(\Lambda)|\cdot\rangle = \int d^3x [-(\partial_i \Lambda)E_i + \Lambda j_0](\vec{x}, t)|\cdot\rangle = 0, \quad \forall \Lambda \in C_0^\infty(\mathbb{R}^3). \quad (2.3)$$

For classical fields, we can here partially integrate without generating boundary terms, getting

$$\int d^3x \Lambda(x)(\partial_i E_i + j_0)(\vec{x}, t) = 0, \quad \forall \Lambda \in C_0^\infty(\mathbb{R}^3), \quad (2.4)$$

which can be interpreted as the classical Gauss law.

Let us now enlarge $C_0^\infty(\mathbb{R}^3)$ to a larger space $C^\infty(\mathbb{R}^3; S_\infty^2)$. It consists of C^∞ -functions which have an angle-dependent limit χ_∞ as the radius variable r goes to infinity:

$$\chi \in C^\infty(\mathbb{R}^3; S_\infty^2) \implies \lim_{r \rightarrow \infty} \chi(\vec{x}) = \chi_\infty(\hat{n}), \quad \hat{n} \cdot \hat{n} = 1. \quad (2.5)$$

It is possible to interpret this space as the space of functions after blowing up infinity, as in the treatment of Spi group. We are in effect attaching a sphere S_∞^2 at infinity, with its points \hat{n} .

Clearly,

$$C_0^\infty(\mathbb{R}^3) \subset C^\infty(\mathbb{R}^3; S_\infty^2) \quad (2.6)$$

We can now also consider the operators

$$Q(\chi) = \int d^3x (-\partial_i \chi E_i + \chi j_0)(\vec{x}, t) \quad (2.7)$$

There is no reason for $Q(\chi)$ to vanish on physical states unless $\chi \in C_0^\infty(\mathbb{R}^3)$. In that case $Q(\chi)$ becomes $G(\chi)$.

In quantum theory, it is $Q(\chi)$ which gives the charge operator Q_0 after the condition $\chi_\infty(\hat{n}) = 1$:

$$Q_0 = Q(\chi)|_{\chi_\infty(\hat{n})=1}. \quad (2.8)$$

The global $U(1)$ group is generated by Q_0 .

3 The Sky Group

We generalize the global $U(1)$ group to a bigger "Sky" group, call it Sky, with generators $Q(\chi)$. Of course only its quotient by Gauss law group can act nontrivially on the quantum states. So what we mean by Sky is the quotient of the group generated by $Q(\chi)$ by the Gauss law group.

The Need for Sky

In QED, infrared photons of arbitrarily small wavelengths are emitted by the sources. Even if at some time $t = -T$, we assume that there are no such photons for $r > R$, and that charge densities are compactly supported, the photons they emit will reach the $t = 0$ surface for $r > R$ if T is large enough (see Figure 1). So we cannot rule out radiation field at arbitrarily large distances.

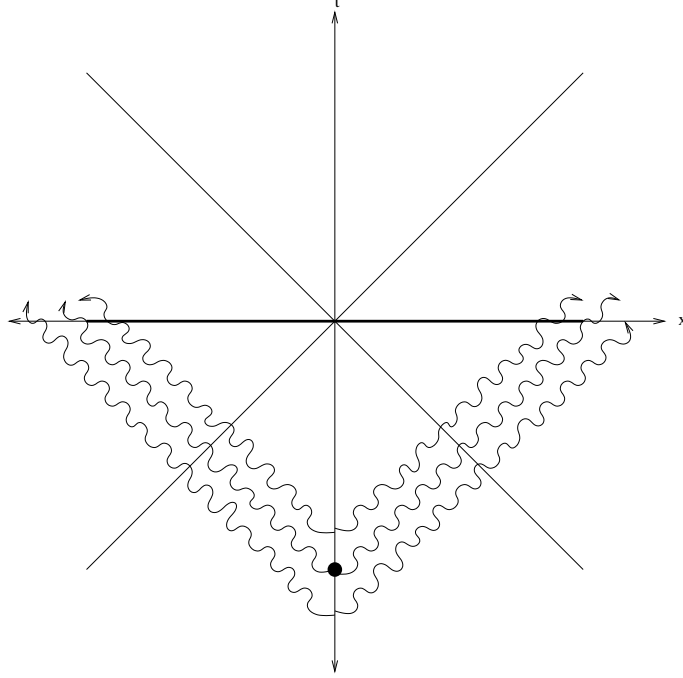


Figure 1: Photons from the distant past arrive at the $t = 0$ surface at large distances.

But then, for their proper description using A_i and to treat gauge invariance, we should allow gauge transformations at arbitrarily large distances. Neither the Gauss law $G(\Lambda)$ nor the charge Q_0 generate these transformations. So we expand global $U(1)$ to Sky.

We work in the $A_0 = 0$ gauge. For this reason, we need not introduce time dependence in the elements of the Sky group.

Sky is superselected

All observables must preserve the physical state condition (2.3). If \mathcal{A} is the algebra of observables and $\alpha \in \mathcal{A}$, we thus have

$$[G(\Lambda), \alpha] = 0. \quad (3.1)$$

We require that \mathcal{A} is local as well. A good way to explain locality in this context is as follows. In a Lagrangian quantum field theory, fields ϕ and their conjugate momenta π all commute at distinct spatial points at equal times:

$$[\phi(\vec{x}, t), \pi(\vec{y}, t)] = 0. \quad (3.2)$$

We can define local operators as their spatial integrals at a fixed time with test functions of compact spatial support.

Locality implies that Sky commutes with \mathcal{A} : it lies in the commutant \mathcal{A}' of \mathcal{A} :

$$\text{Sky} \subseteq \mathcal{A}'$$

To see this, let $\alpha \in \mathcal{A}$ be localized on a compact set K . Now for every χ , we can find a Λ such that χ and Λ coincide on K :

$$\chi \upharpoonright K = \Lambda \upharpoonright K \tag{3.3}$$

which means that

$$Q(\chi \upharpoonright K) = G(\Lambda \upharpoonright K). \tag{3.4}$$

But since α is localized in K , by definition,

$$[Q(\chi), \alpha] = [Q(\chi \upharpoonright K), \alpha], \tag{3.5}$$

$$[G(\Lambda), \alpha] = [G(\Lambda \upharpoonright K), \alpha]. \tag{3.6}$$

Using (3.4), we then get

$$[Q(\chi), \alpha] = 0. \tag{3.7}$$

This means that $Q(\chi)$ are superselected. They play the role of Casimir operators for Lie group representations. Their distinct eigenvalues label inequivalent representations of local algebras of observables.

4 The Poincaré Group as Global Symmetry

The generators of Poincaré group, just as those of any global symmetry, involve integrations of local fields over all space. They do not commute with *all* local fields localized in any compact spatial region. But as the group they generate preserves the algebra of local observables, they form an automorphism of this algebra. But such an automorphism may or may not be implementable in a particular representation of this algebra.

A simple example can illustrate this point. The group $SU(3)$ and its group algebra $CSU(3)$ admit complex conjugation or charge conjugation as its automorphism. Now $SU(3)$ (and therefore $CSU(3)$) has two inequivalent three-dimensional irreducible representations (IRRs), the $\mathbf{3}$ and the $\bar{\mathbf{3}}$. The above automorphism exchanges them. If we consider just the IRR $\mathbf{3}$, then the above automorphism cannot be implemented on the representation space.

Now going back to the charge operator Q_0 , it is Poincaré invariant because current is conserved. The proof relies on the fact that if g is a Poincaré transformation and $g^*\chi$ is the Lorentz transform of the test function χ with constant value $\tilde{\chi}$ at infinity, then $g^*\chi$ has the same value $\tilde{\chi}$ at infinity.

We conclude that the Poincaré group respects charge superselection rule. The latter places no obstruction to its unitary implementation.

For theories with mass gap, there is no further obstruction. The Poincaré group in such theories is not spontaneously broken.

But for the full Sky group, the conclusions are different. If χ_∞ has non-trivial \hat{n} dependence, and R is a rotation, then $(R^*\chi)_\infty(\hat{n}) = \chi_\infty(R^{-1}\hat{n}) \neq \chi_\infty(\hat{n})$. Hence rotations, and therefore Lorentz transformations do not commute with those of Sky.

Spacetime translations in contrast are Sky-invariant. For example for spatial translations, this follows from the fact that

$$\frac{(\vec{x} + \vec{a})}{|\vec{x} + \vec{a}|} \xrightarrow{r \rightarrow \infty} \frac{\vec{x}}{|\vec{x}|} = \hat{n}. \quad (4.1)$$

As for time translations, since the Hamiltonian density

$$\mathcal{H}(x) = \frac{1}{2} \left(F_{0i}^2 + \frac{1}{2} F_{ij}^2 \right) + \dots \quad (4.2)$$

itself is gauge invariant and commutes with $Q(\chi)$, elements of the Sky group also are time-translation invariant.

We conclude that unless

$$Q(\chi)|\cdot\rangle = 0 \quad (4.3)$$

for χ_∞ not constant on S_∞^2 , the Lorentz group is spontaneously broken.

5 The Sky Changes the S -matrix

Let us start with quantum states $|\cdot\rangle_0$ carrying the trivial representation of Sky where (4.3) is fulfilled.

We will now construct the operator $V(\omega)$ of Section 1 which acting on $|\cdot\rangle_0$ creates the vector state

$$|\cdot\rangle_\omega = V(\omega)|\cdot\rangle_0 \quad (5.1)$$

which carries a non-trivial Sky-representation, while at the same time it commutes with $G(\Lambda)$ and respects Gauss law.

With $V(\omega)$ at our disposal, there is a simple modification of the charged fermion-mass term which picks up the effect of ω and gives a Lorentz-violating S -matrix. Gauss law and charge conservation are maintained by the new interaction, while if $\omega = 0$ and there is empty Sky, it does not affect scattering either.

Let ω be a closed two-form,

$$d\omega = 0, \quad (5.2)$$

and consider

$$V(\omega) = \exp i \int \omega \wedge A. \quad (5.3)$$

$G(\Lambda)$ commutes with it since

$$\int \omega \wedge d\Lambda = - \int d\omega \wedge \Lambda = 0 \quad (5.4)$$

for $\Lambda \in C_0^\infty$. Hence if $|\cdot\rangle$ is annihilated by $G(\Lambda)$, then so is $V(\omega)|\cdot\rangle$:

$$G(\Lambda)V(\omega)|\cdot\rangle = 0. \quad (5.5)$$

This means that $V(\omega)$ maps admissible vectors compatible with Gauss law to other such admissible vectors.

But if

$$U(\chi) = e^{iQ(\chi)} \in \text{Sky} \quad (5.6)$$

we see that

$$U(\chi)V(\omega) = e^{-i \int d\chi \wedge \omega} V(\omega)U(\chi) = C(\chi_\infty, \omega_\infty)V(\omega)U(\chi), \quad (5.7)$$

where C depends only on χ_∞ and ω_∞ since the integral $\int \omega \wedge d\chi$ depends only on them.

This generalized ‘‘Weyl’’ algebra is very similar to the Buchholz-Fredenhagen algebra [5]. Hence $V(\omega)$ maps an empty Sky $|\cdot\rangle_0$ to a non-empty one $|\cdot\rangle_\omega$.

The operator $V(\omega)$ is not a local observable, nor can it be identified as an observable in some sense, as it does not commute with Sky. It is like a charged field which does not commute with Q_0 .

Remark

$V(\omega)$ does not create charge as follows from (1.2,5.3)

$$Q_0V(\omega)|\cdot\rangle = V(\omega)Q_0|\cdot\rangle \quad (5.8)$$

We are after a simple model to calculate the effects of Lorentz breaking. There is in fact a simple approach to such a model. It was devised earlier [3] to calculate electric dipole moment from QCD θ and can be adapted for the present purpose.

Such a model is one where the fermion mass term $\mathcal{L}_m^0 := m\bar{\Psi}\Psi$ is modified to

$$\mathcal{L}_m^x = \frac{m}{2}(U(\chi) + U(\chi)^\dagger)\bar{\Psi}\Psi. \quad (5.9)$$

Since

$$\omega\langle\cdot|U(\chi)|\cdot\rangle_\omega = C(\chi_\infty, \omega_\infty)_0\langle\cdot|U(\chi)|\cdot\rangle_0 = C(\chi_\infty, \omega_\infty)_0\langle\cdot|\cdot\rangle_0, \quad (5.10)$$

the amplitudes for the sectors $|\cdot\rangle_\chi$ are obtained from the amplitudes for the vectors $|\cdot\rangle_0$ if we replace m by

$$M(C(\chi_\infty, \omega_\infty)) \equiv m\Re C(\chi_\infty, \omega_\infty) = m \cos\left(\int d\chi \wedge \omega\right). \quad (5.11)$$

We can then set $U(\chi) = 1$, which is its value on $|\cdot\rangle_0$.

The Sky-deformed mass $M(C(\chi_\infty, \omega_\infty))$ depends in general on \hat{n} . Because of this dependence, it can give scattering amplitudes that violate Lorentz invariance. Its choice has to be dictated by the infrared cloud of a specific process.

An Alternative Choice for $V(\omega)$

Instead of $V(\omega)$, we could have chosen any other unitary operator commuting with $G(\Lambda)$, but not commuting with a general $Q(\chi)$.

There is one particularly interesting choice of this sort. It is the one which generalizes to the non-Abelian case. It is

$$W(\theta) = e^{i\theta S_{CS}(A)} \quad (5.12)$$

where

$$S_{CS} = \frac{1}{8\pi^2} \int A \wedge dA \quad (5.13)$$

is the Chern-Simons term.

Then

$$U(\chi)W(\theta) = e^{-\frac{i\theta}{8\pi^2} \int d\chi \wedge dA} W(\theta)U(\chi) := C(\chi_\infty, \theta)W(\theta)U(\chi). \quad (5.14)$$

As before we can consider

$$W(\theta)|\cdot\rangle_0 = |\cdot\rangle_\theta, \quad (5.15)$$

$$U(\chi)|\cdot\rangle_0 = |\cdot\rangle_0. \quad (5.16)$$

The mass term \mathcal{L}_m^0 between the θ -sectors is equivalent to the mass term

$$\mathcal{L}_m^\theta = M(\theta)\bar{\Psi}\Psi, \quad M(\theta) = m \cos\left(\frac{\theta}{8\pi^2} \int d\chi \wedge dA\right). \quad (5.17)$$

in the $\theta = 0$ sector. Since $dF = 0$, this too depends only on asymptotic data. For the A which occurs in (5.17) as well, such data must come from the state of the infrared photons.

6 Non-Abelian Generalization

The Gauss law and $Q(\chi)$ easily generalize to non-Abelian semi-simple compact Lie groups H . It is enough to replace Λ and χ by functions valued in its Lie algebra \underline{H} and take traces at appropriate spots. Thus for instance

$$Q(\chi) = \int d^3x \left(-\text{Tr}(D_i\chi)E_i + \chi J_0 \right), \quad \chi = \chi^\alpha \lambda_\alpha, \quad \chi^\alpha \in C^\infty(\mathbb{R}^3; S_\infty^2). \quad (6.1)$$

Here λ_α is a basis for say the adjoint representation of \underline{H} .

When $\chi^\alpha = \Lambda^\alpha \in C_0^\infty(\mathbb{R}^3)$, we get the Gauss law operators $G(\Lambda)$. They vanish on physical quantum states.

The argument that local observables commute with $Q(\chi)$ is still valid so that the non-Abelian Sky group they generate is superselected.

If $Q(\chi)|\cdot\rangle \neq 0$ when χ_∞ has a non-trivial angular dependence on \hat{n} , then this superselection sector is not Lorentz-invariant. Lorentz group is spontaneously broken.

All this is as before. But the operator $U(\omega)$ does not generalize to the non-Abelian case as its naive generalization does not commute with $G(\Lambda)$. Only $W(\theta)$ does so, with $S_{CS}(A)$ being the non-Abelian Chern-Simons term:

$$W(\theta) = e^{i\theta S_{CS}(A)}, \quad (6.2)$$

$$S_{CS}(A) = \frac{1}{8\pi^2} \text{Tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right). \quad (6.3)$$

This operator commutes with $G(\Lambda)$, but not with $Q(\Lambda)$ for a generic χ :

$$U(\chi)W(\theta) = C(\chi_\infty, \theta, A)W(\theta)U(\chi) \quad (6.4)$$

where the explicit expression for $C(\chi_\infty, \theta, A)$ can be read off from Eq. (13.59) of [18]. It simplifies if χ^α is non-zero for only one value α_0 of α . Any gauge transformation induced by $e^{iQ(\chi)}$ is a product of such transformations.

For the mass-deformed model, the deformed mass is a generalization of (5.17)

$$M(\theta) = m \cos \left(\int \Re C(\chi_\infty, \theta, A) \right). \quad (6.5)$$

It comes from absorbing the twist $W(\theta)$ of the twisted quantum state

$$|\cdot\rangle_\theta = W(\theta)|\cdot\rangle_0 \quad (6.6)$$

in the mass term.

The twisted sector of QCD with twist θ is precisely the QCD θ -sector. It is the one where quarks and baryons acquire electric dipole moment. In the absence of Sky, there is 2π -periodicity on θ . But that is absent in $M(\theta)$: Lorentz violation is not periodic in θ .

In addition to modifying $m\bar{\Psi}\Psi$ by $U(\chi)$, we can also modify it by another operator discussed in [3]. That will let us calculate electric dipole moment as well. This operator too comes from a gauge principle and has shared properties with $U(\chi)$. They occur together in the twisted mass and mutually affect each other.

7 Final Remarks

There are particular features of *non-Abelian* gauge groups which merit more study.

Let us focus on the subgroup of the non-Abelian Sky where χ_∞ is a constant function. We can write

$$\chi_\infty(\hat{n}) = \chi_\infty^\alpha \lambda_\alpha, \quad \chi_\infty^\alpha \text{ constants.} \quad (7.1)$$

Correspondingly,

$$Q(\chi) = \chi_\infty^\alpha Q(\lambda_\alpha) := \chi_\infty^\alpha Q_\alpha \quad (7.2)$$

where Q_α generate the non-Abelian global group H . (Only the asymptotic value of χ matters because of the Gauss law.)

In QCD, H is the color group $SU(3)_c$. It is what is normally studied in literature. So we can concentrate on H and assume without loss of generality that H is a simple compact Lie group. But H is superselected. So are Q_α . H is also by assumption non-Abelian. Therefore not all elements $h = e^{i\phi_\alpha Q_\alpha}$ of H can characterize a superselection sector.

That is because local observables commute with H . So the vector states with the same value of a fixed $h_0 = e^{i\phi_\alpha^0 Q_\alpha}$ are invariant under local observables. This value is part of the characterization of a superselection sector.

But a generic $h \in H$, H being non-Abelian, changes this value and hence the superselection sector. Such h changing this value are "spontaneously broken". The choice of a particular value of h_0 is like choosing a direction for the Higgs field.

Thus unlike the abelian $U(1)$ of electric charge or its group algebra $\mathbb{C}U(1)$, the full color group $SU(3)$ or its group algebra $\mathbb{C}SU(3)$ for instance cannot be used or implemented in a superselection sector. Global color cannot participate as a symmetry group in QCD.

Remarks

The above features of non-Abelian gauge groups were first encountered in the problem of color [10, 11]. It was subsequently understood as a generic feature of non-Abelian twisted bundles (see [14, 15]). It is encountered in numerous physical systems: molecules, non-Abelian monopoles, QCD, ... including when H is a discrete group as for molecules [15].

But we can use a maximal abelian subalgebra \mathcal{A}_c of $\mathbb{C}H$ (c for commutative) for this purpose. If H is a simple Lie group and T^k is the maximal torus generated by its Cartan subalgebra, then it can be the algebra generated by the center $\mathcal{C}(\mathbb{C}H)$ of H and the algebra $\mathbb{C}T^k$ of T^k . Thus \mathcal{A}_c contains the Casimir invariant.

Now \mathcal{A}_c is *commutative*, so its irreducible representations are one-dimensional. Elsewhere [16] we will discuss this issue further. Here we summarize the main points.

If the H -bundle is trivial, we can show that pure states exist on the local algebra \mathcal{A} , but they are obtained by tracing out the H -degrees of freedom and are H -blind. But if the H -bundle is twisted, the states obtained from a superselection sector and a GNS construction are all mixed. We will also show that the QCD bundle is twisted.

Similar reasoning can be applied to quantum gravity. Previous work [17] treated mapping class groups partially from this perspective. Also in asymptotically flat spacetimes, the Poincaré group emerges as the analogue of the global gauge groups above in the ADM formalism. It is *non-Abelian* so that it is *spontaneously broken* to the analogue of \mathcal{A}_c . What this means requires more work to understand adequately. But it does indicate that a quantum black hole has features different from its classical description.

For example, suppose we decide on J_3 as one unbroken generator creating an unbroken circle group in \mathcal{A}_c . But then all the $\hat{n} \cdot \vec{J}$, $\hat{n} \neq (0, 0, 1)$ are spontaneously broken, and J_3 has a fixed numerical value in a superselection sector. No observable internal to the black hole can change it. It is not then clear if it makes quantum sense to interrogate if the black hole is spinning in a direction distinct from the 3-direction, and with what angular velocity.

The role of cluster decomposition, so important to analyze such issues for electric charge [19] has not been analyzed in quantum gravity. We remark that in QED, electric charge density j_0 is gauge invariant (commutes with $Q(\chi)$) and can be turned into a local observable. So there is quantum meaning for claims of measurement of the charge in a finite volume V : it is the measurement of

$$\int_V d^3x j_0, \tag{7.3}$$

a local observable. But for non-Abelian H , Q_α are *not* integrals of fully gauge invariant local densities q_α (q_α do not commute with all $Q(\chi)$). The same goes for say J_3 in quantum gravity. Thus while local measurements of electric charge density are possible, that is not so for non-Abelian H -charge densities or their diffeomorphism analogues in gravity. That already poses problems for us to adapt Haag's ideas ("positron behind the moon") to \mathcal{A}_c

or its gravity analogue, even though this algebra is abelian.

Remark on Covariantization

In the text, we have used the fixed-time formalism all the way. That leads to a “blow-up” of spatial infinity where a sphere S_∞^2 gets attached.

This is enough to treat all but Lorentz boosts. But Lorentz boosts cannot act on such an infinity. We can accommodate the latter by attaching the $2 + 1$ de Sitter space, which carries the action of the Lorentz group, at spatial infinity. That this must be so can be inferred from the analysis of the Spi group [7].

But for a satisfactory treatment, we need the covariant Peierls form of commutation relations. For free abelian electromagnetism, this can be written down compatibly with gauge invariance. But not otherwise.

But since the connected component of the Lorentz group \mathcal{L}_+^\uparrow is simple, if rotations are spontaneously broken, so is \mathcal{L}_+^\uparrow . Thus indirectly, we can infer the fate of \mathcal{L}_+^\uparrow .

We may finally note that the analysis in this paper can be readily adapted to all spacetimes of dimension $\geq 2 + 1$. The $2 + 1$ case has in fact already been treated in [4].

8 Acknowledgments

APB is supported by the Institute of Mathematical Sciences, Chennai.

References

- [1] J. Frohlich, G. Morchio and F. Strocchi, *Annals Phys.* **119**, 241 (1979).
- [2] J. Frohlich, G. Morchio and F. Strocchi, *Phys. Lett. B* **89**, 61 (1979).
- [3] A. P. Balachandran, T. R. Govindarajan and A. R. de Queiroz, *Eur. Phys. J. Plus* **127**, 118 (2012) [arXiv:1204.6609 [hep-th]].
- [4] A. P. Balachandran, S. Kurkcuoglu and A. R. de Queiroz, arXiv:1208.3175 [hep-th].
- [5] D. Buchholz and K. Fredenhagen, *Commun. Math. Phys.* **84**, 1 (1982). See Section 9.
- [6] A. J. Hanson, T. Regge and C. Teitelboim, “Constrained Hamiltonian Systems,” RX-748.
- [7] A. Ashtekar and R. O. Hansen, *J. Math. Phys.* **19**, 1542 (1978).
- [8] A. P. Balachandran, A. R. de Queiroz and S. Vaidya (in preparation).
- [9] P. C. Nelson and A. Manohar, *Phys. Rev. Lett.* **50**, 943 (1983).
- [10] A. P. Balachandran, G. Marmo, N. Mukunda, J. S. Nilsson, E. C. G. Sudarshan and F. Zaccaria, *Phys. Rev. D* **29**, 2919 (1984).

- [11] A. P. Balachandran, G. Marmo, N. Mukunda, J. S. Nilsson, E. C. G. Sudarshan and F. Zaccaria, Phys. Rev. D **29**, 2936 (1984).
- [12] P. C. Nelson and S. R. Coleman, Nucl. Phys. B **237**, 1 (1984).
- [13] A. P. Balachandran and A. R. de Queiroz, Phys. Rev. D **85**, 025017 (2012) [arXiv:1108.3898 [hep-th]].
- [14] A. P. Balachandran, G. Marmo, B. S. Skagerstam and A. Stern, *Classical topology and quantum states*, Singapore, Singapore: World Scientific (1991)
- [15] A. P. Balachandran, A. Simoni and D. M. Witt, Int. J. Mod. Phys. A **7**, 2087 (1992).
- [16] A. P. Balachandran, A. R. de Queiroz and S. Vaidya (in preparation).
- [17] A. P. Balachandran and A. R. de Queiroz, JHEP **1111**, 126 (2011) [arXiv:1109.5290 [hep-th]].
- [18] V. P. Nair, *Quantum field theory: A modern perspective* New York, USA: Springer (2005)
- [19] R. Haag, *Local quantum physics: Fields, particles, algebras*, Berlin, Germany: Springer (1992)